

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

10/501303

EXPRESS MAIL LABEL NO.: EV 377 493 469 US

July 12, 2004

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

DT18 Rec'd PCT/PTO 10 JUL 2004

ATTORNEY'S DOCKET NUMBER: OPS Case 653

U.S. APPLICATION NO.

Confirmation No.: Unknown

(If known, see 37 CFR 1.5): Unknown

INTERNATIONAL APPLICATION NO.: PCT/JP02/07671 INTERNATIONAL FILING DATE: July 29, 2002

PRIORITY DATE CLAIMED: January 11, 2002

TITLE OF INVENTION: ANTI-STAINING AGENT FOR PAPER MACHINE, AND METHOD FOR PREVENTING STAINS  
USING THE SAME

APPLICANT(S) FOR DO/EO/US: (1) Kunio SEKIYA and (2) Hiroshi SEKIYA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not transmitted by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
  - a. Copy of Form PCT/IB/301
  - b. Copy of Withdrawal of Designated States in both Japanese and English
  - c. Copy of Form PCT/IB/304
  - d. Copy of Form PCT/IB/308
  - e. Copy of WO 03/060230 A1 with International Search Report
  - f. Drawings (8 sheets)
  - g. Postal Card

U.S. APPLICATION NO. (if known, see 37 CFR 1.5):  
Unknown

INTERNATIONAL APPLICATION NO.:

PCT/JP02/07671

DT04 Rec'd PCT/PTO 10 JUL 2004

ATTORNEY'S DOCKET NUMBER:

OPS Case 653

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21. [X] The following fees are submitted:

Calculations PTO USE ONLY

**BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):**

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1080.00  
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$ 920.00  
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$ 770.00  
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$ 730.00  
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$ 100.00  
**ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 920.00**

Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	9 - 20 =	0	X \$ 18.00	\$
Ind. claims	6 - 3 =	3	X \$ 86.00	\$ 258.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$290.00	\$
<b>TOTAL OF ABOVE CALCULATIONS</b>				<b>= \$1,178.00</b>

[X] Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

- \$ 589.00

**SUBTOTAL**

= \$ 589.00

Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

+ \$

**TOTAL NATIONAL FEE**

= \$ 589.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

+ \$

**TOTAL FEES ENCLOSED**

= \$ 589.00

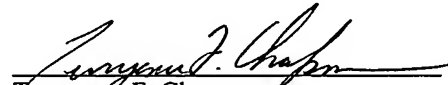
**Amount to be refunded: \$  
charged: \$**

- a. [X] A check in the amount of \$589.00 to cover the above fees is enclosed.  
b. [ ] Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.  
c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1382. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

IN DUPLICATE

**PLEASE DIRECT CORRESPONDENCE TO THE ADDRESS  
ASSOCIATED WITH THE FOLLOWING CUSTOMER  
NUMBER: 23474**

  
Terryence F. Chapman  
Registration Number: 32 549  
TFC\pcq

FLYNN, THIEL, BOUTELL & TANIS, P.C.  
2026 Rambling Road  
Kalamazoo, Michigan 49008-1631

Express Mail Label No.: EV 377 493 469 US

10/501303

Date of Mailing: 7/12/04

Applicant(s) : Kunio SEKIYA, et al  
 For : ANTI-STAINING AGENT FOR PAPER MACHINE, AND  
 METHOD FOR PREVENTING STAINS USING THE SAME

PCT International Application No.: PCT/JP02/07671

PCT International Filing Date: July 29, 2002

U.S. Application No.

(if known, see 37 CFR 1.5): Unknown

Atty. Docket No.: OPS Case 653

Mail Stop PCT  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

EXPRESS MAILING CERTIFICATE

Sir:

I hereby certify that the attached paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

FLYNN, THIEL, BOUTELL & TANIS, P.C.

By: Mina Schipper

Date: July 12, 2004

Document(s) attached:

Form PTO-1390 (2 pages) in duplicate  
 Specification, Claims and Abstract  
 Drawings (8 sheets)  
 Copy of International Application as filed  
 Information Disclosure Statement  
 Form PTO-1449 with all documents listed thereon  
 Copy of Form PCT/IB/301  
 Copy of Withdrawal of Designated States in both Japanese and English  
 Copy of Form PCT/IB/304  
 Copy of Form PCT/IB/308  
 Copy of WO 03/060230 A1 with International Search Report  
 Check \$589.00  
 Postal Card

Telephone: (269) 381-1156  
 391.05/03